

Constitution of the Frontiers in Finance Conference

(Draft for Public Comment)

Chapter I General Provisions

Article 1

The official Chinese name of the Conference is *Jinrongxue Qianyan Huiyi*, the official English name is **Frontiers in Finance Conference**, and the official English abbreviation is **FFC**.

Article 2

The Conference is a non-profit academic platform dedicated to advancing high-level research exchange, academic cooperation, talent development, and disciplinary progress in finance.

Article 3

The Conference adheres to the principles of **high-standard, open, professional, and standardized organization**. Rooted in the core discipline of finance, it focuses on cutting-edge research in finance and interdisciplinary fields, addresses major Chinese issues of frontier academic significance, and explores future scientific and technological developments that may reshape the modes of knowledge production, research methodologies, and disciplinary boundaries of finance. The Conference strives to build a high-impact, sustainable high-level conference brand.

Article 4

The objectives of the Conference are as follows:

1.To encourage high-level, original, leading, and practically relevant research in all branches of finance, and to promote high-quality academic discussion and intellectual debate;

2.To expand the research frontier of finance around major future issues, emerging topics, and cutting-edge methods, and to enhance disciplinary awareness, explanatory capacity, and innovative capability;

3.To advance deep integration between finance and frontier sciences, high technologies, and new research tools, support the standardized application of data science, artificial intelligence, and other emerging methods in financial research, and drive innovation in research paradigms and analytical approaches;

4.To foster high-quality academic exchange between young scholars and senior academics, support current doctoral students (and exceptionally outstanding undergraduate and master's students, hereinafter the same) to

participate in frontier research discussions, and promote academic inheritance, talent growth, and intellectual innovation;

5.To develop a distinctive conference identity featuring intellectual originality, academic leadership, focus on major practical issues in China, and forward-looking orientation.

Article 5

The Conference accepts research achievements across all fields of finance. It encourages submissions of high-quality research rooted in finance, addressing major practical issues, demonstrating methodological innovation, and reflecting interdisciplinary integration. It supports the exchange of research outcomes formed at the intersection of finance with statistics, data science, computer science, artificial intelligence, and other related disciplines.

Article 6

The Conference is held on a regular basis. In principle, an annual summer session is held in Hefei, China, every summer. Additional sessions may be held at other times and locations as appropriate. The specific date, venue, and hosting arrangements shall be determined in accordance with the organizational procedures set forth in this Constitution.

Article 7

The term “Session” as used in this Constitution refers to each specific conference held by the Conference in accordance with this Constitution.

Chapter II Organizational Structure

Article 8

The Conference establishes two permanent bodies: the **Steering Committee** and the **Advisory Council**. Each Session shall establish a **Program Committee** and an **Organizing Committee**.

The Steering Committee is the highest decision-making body of the Conference. The Advisory Council serves as the guiding, consultative, and legacy body of the Conference. The Program Committee and Organizing Committee are established in accordance with this Constitution and operate under the overall coordination of the Steering Committee. Deliberation and decision on major matters of the Conference shall be conducted in accordance with this Constitution, after full consultation with the Advisory Council and upon satisfaction of the basic requirements of the host institution.

Article 9

The Steering Committee is the highest decision-making body of the Conference, responsible for deliberating and deciding major and institutional matters of the Conference.

1.The term of the Steering Committee is three years.

2.Members of the Steering Committee shall be nominated by the Advisory Council and the host institution, and selected through consultation by representatives of the previous Steering Committee, Advisory Council, and host institution. Upon initial implementation of this Constitution, the selection shall follow the Supplementary Provisions.

3.The Steering Committee shall perform the following main duties:

(1) Deliberate and decide major matters in the development of the Conference;

(2) Deliberate the formulation, revision, and implementation of the Constitution;

(3) Deliberate the Conference objectives, organizational structure, award system, and other important institutional arrangements;

(4) Oversee the establishment and relevant work of the Program Committee and Organizing Committee for each Session;

(5) Review arrangements for and organizational matters related to the next Session;

(6) Decide other matters subject to deliberation by the Steering Committee.

Article 10

The Advisory Council is the guiding, consultative, and legacy body of the Conference.

1.The Advisory Council shall have no fixed term of office.

2.Members of the Advisory Council shall possess high academic reputation, strong public recognition, and a sustained commitment to the development of the Conference. The addition and adjustment of members shall, in principle, be discussed and finalized in May each year and announced at the summer Session of the same year.

3.The Advisory Council shall perform the following main duties:

(1) Define the long-term academic direction and positioning of the Conference;

(2) Provide advice to the Steering Committee on the Conference objectives, constitutional amendments, award establishment, and other important institutional arrangements;

(3) Make recommendations to the Steering Committee on the renewal of the Steering Committee, the composition of the Program Committee for each Session, and other major matters concerning the next Session;

(4) Provide guidance on brand building, academic quality, and long-term sustainability of the Conference;

(5) Discuss other important matters referred to the Advisory Council.

Article 11

The Program Committee is the academic organizing body established for each Session.

1.The proposed roster of the Program Committee shall be submitted by the Advisory Council, host institution, and co-organizer, and approved by the Steering Committee.

2.The selection of Program Committee members shall fully consider the advice of the Advisory Council.

3.The composition of the Program Committee shall balance research fields and age structure. In principle, selection shall be based on academic achievements in the past five years, with emphasis on academic and social influence, and appropriate preference shall be given to scholars focusing on major global and Chinese practical issues.

4.The specific duties of the Program Committee shall be specified in the provisions of this Constitution concerning “Organization and Preparation of Sessions” and “Academic Activities and Review”.

Article 12

The Organizing Committee is the preparatory and executive body established for each Session.

1.The Organizing Committee shall be established upon commencement of preparation for the Session and dissolved upon completion of the post-Session summary.

2.The proposed roster of the Organizing Committee shall be submitted by the host institution, co-organizer, and relevant parties, and approved by the Steering Committee.

3.The selection of Organizing Committee members shall fully consider the views of the host and co-organizing institutions.

4.The specific duties of the Organizing Committee shall be specified in the provisions of this Constitution concerning “Organization and Preparation of Sessions”.

Article 13

A Secretariat may be established under the Organizing Committee as needed to handle daily liaison, comprehensive coordination, and operational support. The specific arrangement of the Secretariat shall be determined by the relevant Session based on actual needs.

Article 14

During each Session, the Steering Committee and Advisory Council shall discuss the next phase of the Conference and, in principle, reach preliminary arrangements for the next Session. Members unable to attend in person may participate online or submit written comments in advance.

Article 15

Members of the Advisory Council, Steering Committee, Program Committee, and Organizing Committee shall faithfully perform their duties and safeguard the academic reputation and organizational order of the Conference. If two-thirds or more of the Advisory Council members determine that a member of any of the four committees is no longer suitable to serve, such member shall resign from the relevant position.

Article 16

The composition of the Advisory Council, Steering Committee, Program Committee, and Organizing Committee shall maintain openness, diversity, and representativeness. In principle, the proportion of members from the same current institution shall not exceed 50% for the Steering Committee, 30% for the Advisory Council, and 50% for the Program Committee. The Organizing Committee shall balance operational needs and diverse backgrounds, encouraging participation from different institutions and disciplinary backgrounds; in principle, the proportion of members from the same current institution shall not exceed two-thirds. Member selection and adjustment shall meet the above proportional and structural requirements while ensuring the practical needs and selection quality of the Conference.

Chapter III Organization and Preparation of Sessions

Article 17

Each Session shall be organized and held under the overall coordination of the Steering Committee. The Program Committee shall be responsible for academic organization, and the Organizing Committee shall be responsible for preparation, coordination, and execution. Major matters concerning each Session shall be submitted to the Steering Committee for deliberation and decision in accordance with this Constitution.

Article 18

The Organizing Committee shall be responsible for the preparation and implementation of each Session.

1. Manage venue, liaison, registration, publicity, hospitality, documentation, logistics, and on-site support;

2. Assist the Program Committee in implementing the agenda and academic activities;

3. Conduct communication and coordination with the host institution, co-organizer, and relevant parties as needed for the Session;

4. Undertake other work related to the preparation, organization, and execution of the Session.

Article 19

Specific logistical arrangements and operational details of each Session shall be issued separately by the Session organizers and shall timely announce the date, venue, agenda, venue layout, and related services. All notices, explanations, and implementation rules of the Session shall be consistent with this Constitution.

Article 20

After each Session, timely summary, archiving, and handover shall be conducted.

1. Agendas, rosters, awards, institutional documents, and other important materials shall be properly organized and preserved;

2. Necessary summaries shall be prepared on logistical operations, academic organization, and institutional implementation;

3. Timely connection and handover shall be made for important matters related to the next Session;

4. The above summary, archiving, and handover shall provide a foundation for the next Session.

Chapter IV Academic Activities and Review

Article 21

Each Session shall, in principle, openly solicit submissions from domestic and international participants.

1. Research achievements that meet the Conference objectives and scope, possess academic value, and comply with academic ethics may be submitted in accordance with Session arrangements;

2. The Conference upholds open exchange and encourages high-quality research rooted in science, addressing major practical issues, demonstrating methodological innovation, and reflecting interdisciplinary integration;

3. The submission scope, material requirements, and timeline for each Session shall be announced separately by the Session organizers based on actual conditions;

4. Authors shall comply with this Constitution and relevant rules of the Session.

Article 22

The review, acceptance, and agenda arrangement of each Session shall be organized by the Program Committee.

1.The Program Committee shall develop and implement plans for call-for-papers, review, acceptance, and agenda arrangement;

2.The review process shall adhere to the principles of fairness, impartiality, professionalism, rigor, and practicality;

3.The Conference shall treat all authors equally without differentiated submission or review channels based on the presenter's identity;

4.The acceptance list and main academic arrangements shall be decided by the Program Committee and filed with the Steering Committee and Advisory Council. Material adjustments or other major matters shall be decided by the Steering Committee.

Article 23

Each Session may organize various forms of academic activities as needed.

1.Including keynote speeches, regular presentations, panel discussions, closed-door sessions, internal special presentations, and other necessary academic activities;

2.To emphasize cutting-edge and forward-looking orientation, the Session may organize internal special presentations or invitation-only activities around research ideas, frameworks, or topics that are not fully developed but hold significant potential and importance;

3.Young scholars and doctoral students may be organized to participate in relevant academic exchanges as arranged by the Conference;

4.The format, invitation scope, and organization of the above activities shall be proposed by the Program Committee and approved by the Steering Committee.

Article 24

Each Session shall strictly uphold academic integrity and review procedures.

1.Submitted papers must be original works of the authors and meet academic integrity standards;

2.The Session reserves the right to revoke submission, acceptance, presentation, or award eligibility in cases of plagiarism, falsification, duplicate submission, intellectual property infringement, or other violations of academic ethics;

3.Members of the Advisory Council, Steering Committee, Program Committee, Organizing Committee, discussants, award panelists, and other relevant personnel shall recuse themselves from review, acceptance

recommendations, and award evaluation for submissions by themselves, close relatives, direct collaborators, members of the same institution or team, or other parties with significant conflicts of interest;

4. Academic activities of each Session shall serve the Conference objectives and maintain academic independence, professionalism, and quality standards.

Chapter V Awards and Youth Development

Article 25

The Conference shall establish **Best Paper Award, Best Young Scholar Paper Award, Best Doctoral Student Paper Award, and Best Discussant Award**. The number of awards, review procedures, and recognition methods shall be determined separately by each Session based on actual conditions.

Article 26

The Best Paper Award is open to all accepted papers of the Session. Young scholars and doctoral students shall not be excluded from the Best Paper Award due to age, identity, or activity type.

Article 27

The Best Young Scholar Paper Award aims to encourage young scholars with independent research capacity who explore major future-oriented issues.

For the purpose of this Constitution, a “young scholar” shall, in principle, be a presenter who is under 35 years of age on the first day of the Conference; the age limit shall be relaxed to under 38 for female presenters.

Applicants for the Best Young Scholar Paper Award shall, in principle, meet all of the following conditions:

1. The author has declared the presenter to be a young scholar at the time of submission;
2. The presenter meets the age requirement specified above;
3. The presenter has made substantive contributions to the core research question, main arguments, research design, or key writing of the paper.

The Best Young Scholar Paper Award shall be selected from eligible and accepted papers.

Article 28

The Best Doctoral Student Paper Award aims to encourage doctoral students to conduct independent research and participate in high-level academic exchanges.

Applicants for the Best Doctoral Student Paper Award shall, in principle, meet all of the following conditions:

- 1.The author has declared the presenter to be a current doctoral student at the time of submission;
- 2.The presenter is a current doctoral student on the first day of the Conference and has not previously obtained any doctoral degree;
- 3.The paper is presented by the doctoral student in person;
- 4.The doctoral student has made substantive contributions to the core content of the paper.

The Best Doctoral Student Paper Award shall be selected from eligible and accepted papers.

Article 29

Applicants for the Best Young Scholar Paper Award or Best Doctoral Student Paper Award shall declare the presenter's identity and major contributions to the paper at the time of submission. The Program Committee may request supplementary explanations, issue eligibility opinions, and submit them to the Steering Committee for confirmation.

Article 30

The Conference attaches great importance to the development of young scholars and encourages high-quality exchanges between young and senior academics on key academic issues, major practical challenges, and frontier research directions. Relevant academic activities may be organized by each Session as appropriate.

Article 31

The Best Discussant Award is established for discussants at each Session to encourage high-quality, constructive, and academically insightful comments and discussions.

Chapter VI Formulation, Amendment and Implementation of the Constitution

Article 32

This Constitution serves as the fundamental basis for the long-term operation, institutional inheritance, and governance of the Conference. Matters reflecting long-term and stable principles of the Conference shall be stipulated in this Constitution. Operational matters adjustable by each Session based on actual conditions shall be governed by separate implementation measures or detailed rules formulated by relevant bodies.

Article 33

The formulation and amendment of this Constitution shall uphold the Conference objectives, respect conference traditions, adapt to academic development needs, and balance stability, openness, and enforceability.

Article 34

Proposals for the formulation or amendment of the Constitution may be put forward by the Advisory Council or the Steering Committee. In principle, the draft amendment shall be developed by the Steering Committee.

Article 35

The draft constitutional amendment shall be submitted to the Steering Committee for deliberation and adopted only with the approval of two-thirds or more of all Steering Committee members. For amendments concerning the Conference objectives, organizational structure, award system, and other major institutional arrangements, full opinions shall be solicited from the Advisory Council and Program Committee before deliberation.

Article 36

After each Session, an evaluation shall be conducted on the implementation of this Constitution. Reviews shall be made on adjustments to the Advisory Council, renewal of the Steering Committee, establishment of the Program Committee and Organizing Committee for the next Session, and the need for constitutional amendments.

Article 37

Pursuant to this Constitution, the Steering Committee may formulate implementation measures or detailed rules on review procedures, award applications, logistical operations, closed-door sessions, internal special presentations, and other relevant matters. Such measures and rules shall not conflict with this Constitution.

Chapter VII Supplementary Provisions

Article 38

Upon initial implementation of this Constitution, the first Steering Committee, first Program Committee, and first Organizing Committee shall be selected by the host institution in consultation with the Advisory Council.

Article 39

The power to interpret this Constitution shall rest with the Steering Committee. During the transition period of the Steering Committee, interpretations or interim decisions on matters concerning this Constitution shall be proposed by the host institution in consultation with the Advisory Council and confirmed by the new Steering Committee.

Article 40

This Constitution shall take effect upon adoption through the prescribed procedures. Matters not covered herein shall be addressed by the Steering Committee.